



**COPY**

PTO/B/08 (2-92)  
Sheet 1 of 8

Form PTO-147  
**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**  
(Use several sheets if necessary)

Docket Number 509192000201

Application Number 10/669,204

Applicant

Russell A. HOUSER et al.

Filing Date September 23, 2003

Group Art Unit Not Yet Assigned

Mailing Date November 25, 2003

**U.S. PATENT DOCUMENTS**

| Examiner Initials | Ref. No. | Date       | Document No. | Name                 | Class | Subclass | Filing Date If Appropriate |
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| A                 | 1.       | 03/21/2002 | 2002/0035361 | Houser et al.        |       |          |                            |
|                   | 2.       | 07/04/2002 | 2002/0087048 | Brock et al.         |       |          |                            |
|                   | 3.       | 07/04/2002 | 2002/0087049 | Brock et al.         |       |          |                            |
|                   | 4.       | 07/04/2002 | 2002/0087166 | Brock et al.         |       |          |                            |
|                   | 5.       | 07/04/2002 | 2002/0087169 | Brock et al.         |       |          |                            |
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|                   | 8.       | 01/19/1965 | 3,166,072    | Sullivan, Jr., J. T. |       |          |                            |
|                   | 9.       | 12/05/1972 | 3,704,711    | Park                 |       |          |                            |
|                   | 10.      | 05/27/1980 | 4,204,283    | Bellhouse et al.     |       |          |                            |
|                   | 11.      | 04/07/1981 | 4,259,959    | Walker               |       |          |                            |
|                   | 12.      | 01/08/1985 | 4,492,229    | Grunwald             |       |          |                            |
|                   | 13.      | 06/25/1985 | 4,524,771    | McGregor et al.      |       |          |                            |
|                   | 14.      | 01/27/1987 | 4,639,252    | Kelly et al.         |       |          |                            |
|                   | 15.      | 04/07/1987 | 4,655,773    | Grassi               |       |          |                            |
|                   | 16.      | 03/15/1988 | 4,731,075    | Gallo Mezo et al.    |       |          |                            |
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|                   | 21.      | 10/22/1991 | 5,059,201    | Asnis                |       |          |                            |
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|                   | 25.      | 06/29/1993 | 5,222,508    | Contarini            |       |          |                            |
|                   | 26.      | 07/06/1993 | 5,224,946    | Hayhurst et al.      |       |          |                            |

EXAMINER:

*Am*

DATE CONSIDERED:

*05/15/04*

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.



Form PTO-144

INFORMATIONAL CLOSURE CITATION  
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Application Number 10/669,204

Applicant

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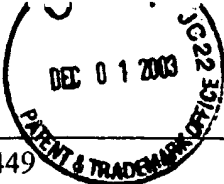
Mailing Date November 25, 2003

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| 32. | 03/01/1994 | 5,290,300 | Cosgrove et al.  |  |  |  |
| 33. | 04/19/1994 | 5,304,184 | Hathaway et al.  |  |  |  |
| 34. | 08/02/1994 | 5,334,217 | Das              |  |  |  |
| 35. | 08/09/1994 | 5,336,252 | Cohen            |  |  |  |
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| 41. | 05/23/1995 | 5,417,699 | Klein et al.     |  |  |  |
| 42. | 06/13/1995 | 5,423,856 | Green            |  |  |  |
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| 45. | 07/18/1995 | 5,433,727 | Sideris          |  |  |  |
| 46. | 08/22/1995 | 5,443,446 | Shturman         |  |  |  |
| 47. | 09/19/1995 | 5,450,860 | O'Connor         |  |  |  |
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| 50. | 10/31/1995 | 5,462,560 | Stevens          |  |  |  |
| 51. | 10/31/1995 | 5,462,561 | Voda             |  |  |  |
| 52. | 11/28/1995 | 5,470,338 | Whitfield et al. |  |  |  |
| 53. | 12/19/1995 | 5,476,470 | Fitzgibbons, Jr. |  |  |  |
| 54. | 01/02/1996 | 5,480,403 | Lee et al.       |  |  |  |
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Form PTO-1449

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|   | 57. | 02/20/1996 | 5,492,119 | Abrams           |  |  |  |
|   | 58. | 03/05/1996 | 5,496,332 | Sierra et al.    |  |  |  |
|   | 59. | 03/05/1996 | 5,496,336 | Cosgrove et al.  |  |  |  |
|   | 60. | 03/19/1996 | 5,499,991 | Garman et al.    |  |  |  |
|   | 61. | 03/26/1996 | 5,501,691 | Goldrath         |  |  |  |
|   | 62. | 04/16/1996 | 5,507,811 | Koike et al.     |  |  |  |
|   | 63. | 06/18/1996 | 5,527,321 | Hinchliffe       |  |  |  |
|   | 64. | 06/18/1996 | 5,527,322 | Klein et al.     |  |  |  |
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|   | 67. | 10/08/1996 | 5,562,686 | Sauer et al.     |  |  |  |
|   | 68. | 10/08/1996 | 5,562,688 | Riza             |  |  |  |
|   | 69. | 11/12/1996 | 5,573,540 | Yoon             |  |  |  |
|   | 70. | 11/26/1996 | 5,578,045 | Das              |  |  |  |
|   | 71. | 12/17/1996 | 5,584,859 | Brotz            |  |  |  |
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|   | 75. | 03/25/1997 | 5,613,975 | Christy          |  |  |  |
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|   | 83. | 10/07/1997 | 5,673,695 | McGee et al.     |  |  |  |
| J | 84. | 10/21/1997 | 5,679,005 | Einstein         |  |  |  |

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Sheet 4 of 8

Form PTO-1449

Docket Number 509192000201

Application Number 10/669,204

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| 86.  | 12/23/1997 | 5,700,273 | Buelna et al.   |  |  |  |
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| 98.  | 07/07/1998 | 5,776,189 | Khalid          |  |  |  |
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| 109. | 10/27/1998 | 5,827,203 | Nita            |  |  |  |
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Form PTO-144

Docket Number 509192000201

Application Number 10/669,204

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|          |      |            |              |                    |  |  |  |
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|          | 118. | 01/19/1999 | 5,860,920    | McGee et al.       |  |  |  |
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|          | 123. | 03/30/1999 | 5,888,247    | Benetti            |  |  |  |
|          | 124. | 04/20/1999 | 5,895,404    | Ruiz               |  |  |  |
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|          | 134. | 09/21/1999 | 5,954,732    | Hart et al.        |  |  |  |
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|          | 139. | 11/30/1999 | 5,993,459    | Larsen et al.      |  |  |  |
|          | 140. | 12/07/1999 | 5,997,534    | Tu et al.          |  |  |  |
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|---|---------------------------------------|---------------------------------|
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|   | Applicant<br>Russell A. HOUSER et al. |                                 |
|   | Filing Date September 23, 2003        | Group Art Unit Not Yet Assigned |
|   | Mailing Date November 25, 2003        |                                 |

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|   | 148. | 05/02/2000 | 6,056,743 | Ellis et al.          |  |  |  |
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|   | 153. | 06/27/2000 | 6,079,414 | Roth                  |  |  |  |
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|   | 160. | 11/14/2000 | 6,146,387 | Trott et al.          |  |  |  |
|   | 161. | 11/21/2000 | 6,149,660 | Laufer et al.         |  |  |  |
|   | 162. | 11/28/2000 | 6,152,144 | Lesh et al.           |  |  |  |
|   | 163. | 11/28/2000 | 6,152,935 | Kammerer et al.       |  |  |  |
|   | 164. | 12/12/2000 | 6,159,235 | Kim                   |  |  |  |
|   | 165. | 12/19/2000 | 6,161,543 | Cox et al.            |  |  |  |
|   | 166. | 12/26/2000 | 6,165,183 | Kuehn et al.          |  |  |  |
|   | 167. | 01/02/2001 | 6,167,889 | Benetti               |  |  |  |
|   | 168. | 01/16/2001 | 6,174,287 | Resnick et al.        |  |  |  |
|   | 169. | 02/13/2001 | 6,187,040 | Wright                |  |  |  |
|   | 170. | 03/27/2001 | 6,206,886 | Bennett               |  |  |  |
|   | 171. | 05/15/2001 | 6,231,561 | Frazier et al.        |  |  |  |

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|   | 173. | 08/07/2001 | 6,269,819 | Oz et al.       |  |  |  |
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|   | 175. | 08/28/2001 | 6,280,448 | Trott et al.    |  |  |  |
|   | 176. | 09/04/2001 | 6,283,962 | Tu et al.       |  |  |  |
|   | 177. | 09/04/2001 | 6,283,993 | Cosgrove et al. |  |  |  |
|   | 178. | 09/18/2001 | 6,290,674 | Roue et al.     |  |  |  |
|   | 179. | 09/18/2001 | 6,290,702 | Fucci et al.    |  |  |  |
|   | 180. | 10/23/2001 | 6,306,133 | Tu et al.       |  |  |  |
|   | 181. | 11/06/2001 | 6,311,623 | Zaruba          |  |  |  |
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|   | 183. | 12/25/2001 | 6,332,468 | Benetti         |  |  |  |
|   | 184. | 01/01/2002 | 6,334,873 | Lane et al.     |  |  |  |
|   | 185. | 02/05/2002 | 6,344,053 | Boneau          |  |  |  |
|   | 186. | 02/12/2002 | 6,346,074 | Roth            |  |  |  |
|   | 187. | 02/12/2002 | 6,346,109 | Fucci et al.    |  |  |  |
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|   | 189. | 04/02/2002 | 6,363,940 | Krag            |  |  |  |
|   | 190. | 04/09/2002 | 6,368,339 | Amplatz         |  |  |  |
| J | 191. | 09/30/2003 | 6,626,899 | Houser et al.   |  |  |  |

## FOREIGN PATENT DOCUMENTS

| Examiner<br>Initials | Ref.<br>No. |            |             |      | Class | Subclass | Translation<br>YES NO |  |
|----------------------|-------------|------------|-------------|------|-------|----------|-----------------------|--|
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|                      | 193.        | 07/30/1998 | WO 98/32401 | WIPO |       |          |                       |  |
|                      | 194.        | 08/20/1998 | WO 98/35638 | WIPO |       |          |                       |  |
|                      | 195.        | 01/21/1999 | WO 99/02096 | WIPO |       |          |                       |  |
| J                    | 196.        | 11/28/2000 | WO 00/27292 | WIPO |       |          |                       |  |

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Group Art Unit Not Yet Assigned

Mailing Date November 25, 2003

|  |      |            |              |      |  |  |  |  |
|--|------|------------|--------------|------|--|--|--|--|
|  | 197. | 01/04/2001 | WO 01/00114  | WIPO |  |  |  |  |
|  | 198. | 04/19/2001 | WO 01/26557  | WIPO |  |  |  |  |
|  | 199. | 01/16/2003 | WO 03/003930 | WIPO |  |  |  |  |

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

| Examiner Initials | Ref. No. | Title   |
|-------------------|----------|---|
|                   | 200.     | Hayashi, K. et al. (1997). "The Effect of Thermal Heating on the Length and Histologic Properties of the Glenohumeral Joint Capsule", <i>The American Journal of Sports Medicine</i> , 25(1):107-112.   |
|                   | 201.     | Naseef III, G. S. et al. (1997). "The Thermal Properties of Bovine Joint Capsule, The Basic Science of Laser -and Radiofrequency-Induced Capsular Shrinkage", <i>The American Journal of Sports Medicine</i> , 25(5):670-674.   |
|                   | 202.     | Selecky, M. T. et al. (1996). "The Effects of Laser-Induced Collagen Shortening on the Biomechanical Properties of the Inferior Glenohumeral Ligament Complex", University of Southern California, School of Medicine, Department of Orthopaedic Surgery, Los Angeles, California, 90033, pp. 1-25. |

EXAMINER:

DATE CONSIDERED:

05/15/04

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.